

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

16

Application Number

10/737,380

Filing Date

12/12/2003

First Named Inventor

Roland L. Dilley

RECEIVED

Art Unit

3743

CENTRAL FAX CENTER

Examiner Name

Tho V. Thuong

APR 06 2006

Attorney Docket Number

H0004919

ENCLOSURES (Check all that apply)

Fee Transmittal Form
 Fee Attached
 Amendment/Reply
 After Final
 Affidavits/declaration(s)
 Extension of Time Request
 Express Abandonment Request
 Information Disclosure Statement
 Certified Copy of Priority Document(s)
 Reply to Missing Parts/ Incomplete Application
 Reply to Missing Parts under 37 CFR 1.52 or 1.53

Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a Provisional Application
 Power of Attorney, Revocation
 Change of Correspondence Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____
 Landscape Table on CD

Remarks

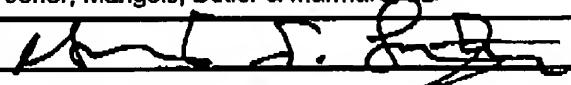
After Allowance Communication to TC
 Appeal Communication to Board of Appeals and Interferences
 Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please identify below):

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Jeffer, Mangels, Butler & Marmaro, LLP

Signature



Printed name

Grant T. Langton

Date

April 6, 2006

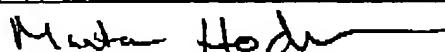
Reg. No.

39,739

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Central Fax No. (571) 273-8300

Signature



Typed or printed name

Marta Hodur

Date

April 6, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY

RECEIVED
CENTRAL FAX CENTER

Practitioner's Docket No. 63908-5001

APR 06 2006

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Roland L. Dilley et al.**

Application No.: 10/737,380

Filed: December 12, 2003

Group No.: 3743

Confirmation No. 5870

Examiner: Duong, Tho V.

For: **NESTED ATTACHMENT JUNCTION FOR HEAT EXCHANGER**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is a large entity.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

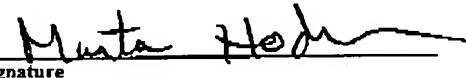
with sufficient postage as first class mail.

37 C.F.R. § 1.10*

as "Express Mail Post Office to Addressee"
Mailing Label No. _____ (mandatory)

TRANSMISSION

facsimile transmitted to the Patent and Trademark Office, (571) 273-8300


Signature

Date: April 6, 2006

Marta Hodur
(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Amendment Transmittal--page 1 of 2

BEST AVAILABLE COPY

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY			
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE			ADDIT. FEE
TOTAL	15	- 20	= 0	x \$ 9.00	= \$	0.00
INDEP.	3	- 3	= 0	x \$ 43.00	= \$	0.00
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+ \$ 0.00	= \$		0.00
			TOTAL		ADDIT. FEE	\$ 0.00

No additional fee for claims is required.

FEE DEFICIENCY

5. If an additional extension and/or fee is required, charge Account No. 10-0440.

If an additional fee for claims is required, charge Account No. 10-0440.

Respectfully submitted,



Grant T. Langton
Registration No. 39,739
JEFFER, MANGELS, BUTLER & MARMARO
LLP
1900 Avenue of the Stars
Seventh Floor
Los Angeles, CA 90067
310-203-8080
Customer No. 24574

Date: April 6, 2006

RECEIVED
CENTRAL FAX CENTER

APR 06 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)	
) Roland L. Dilley et al.		Art Unit: 3743
Serial No.:) 10/737,380	Examiner: Duong, Tho V.
Filed:) December 12, 2003	Confirmation No.: 5870
For:	NESTED ATTACHMENT JUNCTION FOR HEAT EXCHANGER	Docket No. H0004919

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF TRANSMISSION
under 37 CFR §1.8

I hereby certify that this document and identified enclosures and fee are being transmitted via facsimile (571) 273-8300 addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 6, 2006.

by: Marta Hodur
Marta Hodur

BEST AVAILABLE COPY

AMENDMENT

Dear Sir:

In response to the Office action dated January 6, 2006, Applicants respond thereto as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

Amendment Dated April 6, 2006
Reply to Office Action Dated
January 6, 2006

-1-

Appl. No. 10/737,380
Atty. Docket No. H0004919